



UPDATE  
**White Collar  
Defense and Corporate  
Compliance**

NOVEMBER 2010

## **New United States Attorney Pledges to Aggressively Pursue White-Collar Crime with Blue-Collar Tactics**

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Connecticut's newly appointed top federal prosecutor announced his intent to focus his office's efforts on financial crimes, pledging to use the full array of investigative techniques to root out white-collar crime.

United States Attorney David Fein made clear that his focus over the next few years will be to crack down on financial institutions, health care entities, and other large businesses that run afoul of federal laws and regulations. As a former federal prosecutor in New York, Mr. Fein has seen the commitment to white-collar prosecution on Wall Street, and, with the large number of financial institutions located in Connecticut, he is determined to have a similar focus here. United States Attorney General Eric Holder has praised Mr. Fein for taking aim at financial fraud, declaring that "David and his team are determined to bring [Connecticut's] pursuit of white collar crime to the next level."

Indeed, at the Federal Bar Council's Connecticut Committee Dinner and Lecture on October 6, 2010, Mr. Fein announced that his office will be taking a very aggressive and proactive approach to prosecuting white-collar crimes. In fact, he promised to use techniques routinely applied in the investigation of drug-related and other violent crimes, such as wiretapping and undercover operations, to root out the perpetrators, or "fraudsters" as he calls them, of these more sophisticated, financial crimes.

All Connecticut businesses, and particularly financial institutions and health care entities, should be aware of this heightened attention. The best way to protect your business from running awry of federal laws and regulations is an active, vibrant compliance program. The members of Robinson & Cole's White Collar Defense and Corporate Compliance Practice Team stand ready to assist you with updating your policies.

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### **CONTACT US**

If you have any questions or would like to discuss how the District of Connecticut's new investigation techniques and focus may affect your business, please contact [Edward J. Heath](#),

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